

# FACTS

## What does Gunderson Capital Management, LLC do with your personal information?

### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. This notice is delivered annually to our clients.

### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- social security number
- general assets
- retirement assets
- account transactions
- investment experience
- employment information

### How?

All financial companies need to share some personal information to run their everyday business. In the section below, we list the reasons financial companies can share their personal information, the reasons Gunderson Capital Management, LLC chooses to share, and whether you can limit this sharing. Third-party service providers who receive client data are contractually required to protect that information.

| Why we share your personal information                                                                                                                                   | Does Gunderson share? | Can you limit this sharing? |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|-----------------------------|
| For our everyday business purposes to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                   | No                          |
| For our marketing purposes to offer our products and services to you                                                                                                     | No                    | This is NOT shared          |
| For joint marketing with other financial companies                                                                                                                       | No                    | No                          |
| For our affiliates' everyday business purposes; information about your transactions and experiences                                                                      | Yes                   | No                          |
| For our affiliates' everyday business purposes; information about your creditworthiness                                                                                  | No                    | This is NOT shared          |
| For non-affiliates to market to you                                                                                                                                      | No                    | This is NOT shared          |

### To limit our sharing

If you would like to limit the personal information that we share you can write to us, providing your name, address, phone number, and account number/social security number. You may also call us at 855-611-2378 or email us at [jennifer@gundersoncapital.com](mailto:jennifer@gundersoncapital.com). See address on page 2.

Please note: If you are a new customer, we can begin sharing your information 1 day from the date we sent this notice. This notice is delivered at or before establishing a relationship. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing. This notice is sent electronically, as an attachment, unless you have opted out.

### Questions?

Call (619) 452-1425 or email [Jennifer@gundersoncapital.com](mailto:Jennifer@gundersoncapital.com)

## Who we are

## Who is providing this notice?

Gunderson Capital Management, LLC

## What we do

|                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                          |
|------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| How does Gunderson Capital Management, LLC protect my personal information?        | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.                                                                                                                                                                                                                |
| How does Gunderson Capital Management, LLC collect my personal information?        | <p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>▪ open an account or seek advice about your investments</li> <li>▪ enter into an investment advisory account by giving us your contact information</li> <li>▪ tell us about your investment or retirement portfolio</li> </ul>                                                                                 |
| Why can't I limit all sharing?                                                     | <p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>▪ sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>▪ affiliates from using your information to market to you</li> <li>▪ sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p> |
| What happens when I limit sharing for an account I hold jointly with someone else? | The privacy choices made by an account holder apply to all joint holders with respect to the account.                                                                                                                                                                                                                                                                                                                    |

## Definitions

|                        |                                                                                                                          |
|------------------------|--------------------------------------------------------------------------------------------------------------------------|
| <b>Affiliates</b>      | Companies related by common ownership or control. They can be financial and nonfinancial companies.                      |
| <b>Non-Affiliates</b>  | Companies not related by common ownership or control. They can be financial and nonfinancial companies.                  |
| <b>Joint Marketing</b> | A formal agreement between nonaffiliated financial companies that together market financial products or services to you. |

## Other important information

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| <p><b>You may write to us at:</b><br/> <b>You may call us at: 855-611-BEST</b><br/> <b>You may email us at: <a href="mailto:jennifer@gundersoncapital.com">jennifer@gundersoncapital.com</a></b></p> | <p>Gunderson Capital Management, LLC<br/>         Attn: Compliance<br/>         1415 Vantage Park Dr., Ste. 250<br/>         Charlotte, NC 28203</p> |
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